

Suyog M. Bhambal

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Professional Summary

Dynamic finance professional with over 9 years of comprehensive experience in financial markets and derivative products. Currently positioned as a Senior Consultant at EY, I am seeking a Subject Matter Expert (SME) role where I can effectively apply my extensive knowledge in derivatives, collateral management, global reconciliation, treasury management, team leadership, and project management.

Professional Experience

Senior Consultant, Subject Matter Expert (Derivatives Investment Operation)

EY Global (Ernst & Young) | September 2024 – Present

- **Collateral & Derivatives Transition:** Spearheaded the successful transition of collateral and derivatives operations from the US to India, ensuring seamless integration and compliance with regulatory standards.
- **Resource & Project Management:** Effectively managed resources while overseeing multiple projects, ensuring timely delivery aligned with organizational objectives. Implemented best practices in project management to enhance operational efficiency.
- **Procedure Documentation & Control Framework:** Developed comprehensive procedural documentation and established a robust control framework to ensure compliance and operational excellence. Conducted regular reviews to maintain accuracy and relevance.
- **Team Performance Management:** Oversaw team performance, including leave management and performance evaluations, fostering a culture of accountability and continuous improvement. Implemented performance metrics to track progress and drive results.
- **KPI Development & Client Reporting:** Generated key performance indicators (KPIs) and client reporting, providing insights into operational performance and service delivery. Enhanced reporting processes to improve transparency and client satisfaction.

- **Escalation & Exception Management:** Managed escalations and exceptions effectively, utilizing strong analytical skills to resolve issues promptly and maintain service quality. Developed strategies to minimize recurrence of escalations.
- **Automation Management:** Led automation initiatives to streamline operations, reduce manual processes, and enhance overall efficiency. Collaborated with IT and other departments to implement technology solutions that support operational goals.

Team Leader, Collateral Management

Northern Trust Operating Services | February 2023 – September 2024 | Pune, India

- **Team Leadership:** Directed a high-performing team of 10 professionals, cultivating a culture of excellence through effective coaching, mentorship, and regular performance feedback, resulting in improved engagement and productivity.
- **Escalation Management:** Served as the primary escalation point for complex issues, employing strong problem-solving skills to resolve challenges swiftly and maintain service quality.
- **Resource Management:** Managed Full-Time Equivalent (FTE) calculations to optimize staffing levels, ensuring alignment with operational demands.
- **Technical Expertise:** Acted as the senior technical resource, providing in-depth support on collateral management systems and processes. Facilitated knowledge-sharing sessions to enhance team members' technical proficiency.
- **Performance Reporting:** Compiled and presented comprehensive weekly and monthly volume reports to senior management, utilizing data analytics to identify trends and inform strategic decisions. Enhanced reporting accuracy and clarity, leading to more informed management discussions.
- **Process Optimization:** Proactively identified and implemented process improvements, resulting in a 15% reduction in turnaround times and increased operational efficiency. Led cross-functional initiatives to enhance workflow and service delivery.

Technical Coordinator, Subject Matter Expert in Collateral & Settlement

Northern Trust Operating Services | February 2021 – January 2023 | Pune, India

- Led business transition projects for key clients, overseeing all processes and activities performed as a collateral manager.
- Facilitated knowledge and professional growth for a team of 5 members, ensuring continuous development.
- Acted as the key communicator between onshore and offshore collateral teams, enhancing collaboration and efficiency.
- Directed the Digitizer Project, a comprehensive automation initiative for collateral wires.
- Played a pivotal role in the UMR project, representing the NA region in regulatory onboarding and process setup.
- Sole decision-maker for onboarding new clients for collateral management services, ensuring seamless integration.
- Responsible for client services and reviews, significantly contributing to team management and knowledge-building programs.

Senior Financial Analyst

Northern Trust Operating Services | August 2018 – February 2021 | Pune, India

- Served as the primary point of contact for all margining-related queries for the North America region.
- Onboarded multiple significant clients, including process setups, control checks, and client reporting.
- Transitioned various processes from onshore teams to offshore, enhancing operational efficiency.
- Acted as a Subject Matter Expert (SME) for the process, responsible for client reviews, technical queries, and new business acquisition decisions.
- Trained and mentored junior partners, establishing control checks to mitigate risks.
- Supervised and authorized all collateral movements for the NA region, ensuring compliance and accuracy.

Financial Analyst

Northern Trust Operating Services | July 2016 – August 2018 | Pune, India

- Responsible for managing client exposure and calculating Mark to Market (MTM).

- Onboarded new clients and ensured all derivatives underlying trades in a portfolio were collateralized.
- Issued margin calls to counterparties and responded to all margin calls before currency cutoff.
- Conducted daily reconciliation of collateral balances and underlying trades using Tri Resolve.
- Managed exceptions for custody clients and ensured timely responses to client and counterparty queries.

Education

- **Bachelor of Commerce (B.Com)**
Pune University - Ness Wadia College of Commerce
- **Pursuing Financial Risk Manager (FRM) Certification**
Indian Institute of Management (IIM)

Projects

- **Digitizer Project:** Automated all fax collateral instructions to SWIFT, integrating them into the organization's STP systems.
- **UMR Project:** Represented the North America collateral team to ensure adherence to regulatory guidelines as per UMR, establishing new control checks and creating phase-wise guidelines.

Awards

- Northern Trust Spot Awards (10 times throughout my career at Northern Trust)
- Exceptional Performer of the Year (2018, 2019, 2021)

Certifications

- Certificate in Artificial Intelligence Essentials (IBM)
- Certificate in Banking & Financial Markets (Master Level - Udemy)
- Certificate in Scrum Master, Project Management (Learn Tube)
- Certificate in Team Building & Teamwork (Udemy)

Skills

- Bloomberg
- Exception Lifecycle Management
- Tri-Opima
- Collateral Management
- Reconciliation
- Trade Lifecycle Management
- Transition Management
- Project Management