

Gaurav Pal
Mobile: +91-8806425666
e-Mail: vgauravpal@gmail.com

Business Analyst / Product Manager

SUMMARY

- **Product Manager [Business Analyst]** (MBA in Finance – full time) with 15+ years of experience in Finance-IT/Reg-IT.
- Working with **UBS Business Solutions** as **Associate Director** (Product Manager/ Business Analyst) under Group Technology Finance-IT Investment Banking business function.
- Product Owner of Equity Derivatives subledger Account Postings Pod in CAL [Centralized Accounting Layer].
- Responsibilities – Requirements gathering/ Scope defining, Backlog preparations/prioritizations, Overall Finance-IT budgeting/estimation, FTE planning, stakeholder management, etc.
- Key stakeholders are Product Controllers, Regulators, Liquidity, Risk Managers, Capital, etc.
- Have worked in **HSBC Software Development India Pvt Ltd** as **Senior Business Analyst** under **GBM - Global Banking and Markets** Domain.
- Worked on **UK Liquidity FSA Phase II in HSBC**. This was **BDD (Behaviour Driven Development)** based project.
- Led a **team of 9 BAs** for Strategic Project **FSA – Future State Architecture** serving HSBC business consumers like **Liquidity, Capital, Reg-IT, PC, etc.**
- Have worked in BNY Mellon Technologies as **Associate Program Manager with dual Roles of PM and BA**.
- Key Certifications – Azure-900 by Microsoft, Agile Project Manager by Google and Behavior Driven Development by Coursera.

CORE COMPETENCIES

- **Industry:** Investment Banking ~ Brokerage Firms ~ Auto-Insurance
- **Functions:** Capital Markets ~ Derivatives ~ Data Lineage
- **Methodologies and Tools:** BDD ~ Agile ~ Waterfall ~ RTC ~ JIRA ~ Altova XML Spy ~ FPML ~ IBM - InfoSphere Data Architect ~ MS Access ~ Power-BI
- **Others:** Strong PM/BA skills ~ Interpersonal skills ~ Good experience of Reporting/Dashboards

EXPERIENCE

UBS Business Solutions (Associate Director)

Sep '18 till date

Program: GGL CAL Finance-IT Business Analyst/Product Owner

From Jan'20 till date

Global General Ledger is a Finance IT platform to support ledger accounting in UBS Investment Banking function. Centralized Accounting Layer is a GGL application which is used for maintaining and supporting ledger/sub-ledger rules/derivations/calculations. CAL takes global feeds from upstream Risk Managing Systems and then normalizes the data after applying GAAP principles. After ledger/sub-ledger accounting, the CAL feeds are sent downstream to Finance IT users e.g. Product Controllers, Regulators, Liquidity, RMs etc.

Responsibilities:

- Finance IT CAL Product Manager of Global Markets GERONIMO equity feeds
- Regular interactions with clients to define product backlog and prioritize the requirements.
- FTE/ Funding Estimations for a project/change/fix etc. to be delivered.
- Requirements Analysis, Requirements Baselining, QA sign-offs, UAT/PROD results business walkthrough for formal sign-off.
- Support Scrum Master in stories prioritization in a sprint.

Project: Data Sourcing – Data Lineage (CAL)**Oct'18 to Dec'19**

Regulatory Project to meet compliance requirements - presenting data transparency to auditors and regulators.

BA Responsibilities

- Gather Data Lineage requirements from DMO (Data Management Organization) for various UBS specific Functional Areas like MART, FOSB, GROT, etc. for various products across various regions e.g. GLOBAL, AMER, APAC, EURO, ZURI, etc. for CAL system.
- Creation of Functional Areas specific Data Lineage files to represent transformation of data from one system to another using Excel Tool.
- Re-engineering of Data Lineage files into Regulatory specific Export Reports using MS Access tool which converts plain excel data lineage file into Regulatory reports.
- Export reports consists of Key Data Elements (KDE) with their transformation logics and transformation categories (i.e. Copy-Passthrough, Copy-Standardization, Transformation-Calculation, Non-Passthrough, Creation/Origination, etc.)
- Resolving QA issues raised by DMO and KDE Master in data lineage reports.
- Resolving Jira DQ Queries and modifying existing Data Lineage Reports to fix them by implementing new logics as per the renewed CAL Specifications.

HSBC Software Development India Pvt Ltd (Senior Business Analyst)**March '16 to Aug '18****Project: UK Liquidity FSA Phase II****From Nov'17 to Aug'18**

This project is to migrate UK Liquidity from legacy system to new FSA platform.

BA Responsibilities

- Gather requirements from liquidity business stakeholders i.e. ALCM Group which use GBM IT liquidity SDIs to generate LCR, NSFR and other liquidity reports.
- GBM IT Liquidity team source 7 different SDIs to ALCM group which are Traded Product SDI, Cashflow Schedule SDIs, Interest Payment Schedule SDIs, Interest Repricing Schedule SDIs, Wholesale Product SDIs, Instrument SDIs and Involved Party SDIs.
- Use BDDs – Behavior Driven Development methodology to create SDIs for ALCM group.
- Act as a liaison between ALCM group and upstream trading systems e.g. SUMMIT, TREATS, Murex, LOANIQ, XFOS, etc. to write mappings for business attributes and data required by group from upstream systems.
- Writing test cases and system specific validation scenarios for business requirements
- Participate in RVT (Requirements, Validation and Testing) forum for new requirement review and stakeholders approvals.
- Use JIRA and follow scrum agile methodology for maintain and managing release cycles.

Project: FSA DATA SOURCING**From Mar'16 to Oct'17**

This project is to build a centralized integrated data layer for all HSBC financial consumer systems and applications. Enrichment of existing DSL (Data Service Layer) for Trade Data to provide data to downstream systems like Liquidity, Capital, PC, etc. DSL receives Raw Trade messages in XML format from upstream PTS like SUMMIT, TREATS, MUREX, nFOS, XFOS, etc. and then convert them into FPML messages (i.e. UC Trade Messages) which are finally mapped to FDM (Financial Data Model) to source it to downstream Finance Applications and systems.

PM & BA Responsibilities

- Led a team of 9 BA's for Strategic Project - **Future State Architecture (FSA)**.
- Requirements and Gap Analysis of business requirements of different functional domains (e.g. Trade Data, Reference Data, Market Data, Valuation Data, Instruments Data and other Risk Data, etc.) for building a **Golden Source of common data repository – F2B [Front to Back Repository]** for GBM consumers like **Liquidity, Global Liquidity, Capital, Reg-IT, Product Control, SPARC, etc.**
- Gap Analysis of PTS data against **DSL and RSL (Data Service Layer and Risk Service Layer)**.

Responsibilities

- Worked as **Program Manager for eAnalytics** and was responsible for analysing all kind of reporting and dashboard requirements and capabilities to suffice IBD needs.
- Worked directly with Diamond/Golden clients - **Citi, Barclays and Goldman Sachs** (*client conversion did not happen) for eAnalytics and NetX360.
- Prepare **BRD, FRD, CRs, Use Cases, mock UIs, mapping documents [This was waterfall model]**
- Create **functional test cases, perform functional testing**, prioritize the issues raised and provide sign-offs
- Collaborate with **business users for UAT sign-off** and support
- Worked with customer communication team on Release notes

Accenture Services Pvt Ltd (Senior Software Engineer) Sep '06 – Apr '10**Project Name:** Allstate NextGen Auto Insurance Claim Reports**Client:** Allstate Insurance Company**Project Description:**

NextGen Auto Claim Reports project was to create auto-insurance reports for Allstate Insurance Company. These reports were created to meet the business needs to analyse the performance automobile claims over a period of time. The reports had information about the Auto Claims, Account Holders, Insurance Covered, Sum Assured, 3rd Party Coverage, Perils, Sub-perils, etc.

Responsibilities

- Work with BAs to understand business requirements.
- Work on Crystal Reports tool to create reports as per the business requirements.
- Provide realistic estimates to respective Project Manager for Development Efforts.
- Prepare Test Cases to validate the functions, features and data in reports.
- Conduct High Level Design (HLD) review with all stakeholders and get a sign-off.
- Conduct Code review with Dev SMEs to ensure minimal defects in construction.
- Work on fix of internal defects and production issues.

PROFESSIONAL AWARDS / CERTIFICATIONS / Extra Activities

- AZ-900, Agile Project Manager, BDD Certified in 2021.
- Won Bravo and Extra mile award in Q4, 2014.
- Won highly prestigious award of iNautix i.e. STAR AWARD in Q4-2012 with-in 9 months of joining.
- Have participated in multiple events and organization level activities like iMela, Fashion Show, Social Events, Charities, Open House, etc. while working in iNautix.
- Visited my MBA campus, IBS-Hyderabad as alumni with recruitment team.
- Was awarded with Super Achiever's Award in year 2007 in Accenture Services Pvt. Ltd.

EDUCATIONAL QUALIFICATION

Course	Institution	Year
MBA (Finance)	IBS Hyderabad - ICAFI University, Hyderabad	2012
B.E. (Mechanicals)	East West Institute of Technology (EWIT)-Bangalore	2006
XII	St. Thomas College, Dehradun (ISC BOARD)	2002
X	St. Thomas College, Dehradun (ICSE BOARD)	2000